

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Group Art Unit: Unknown

**Nicholas David Wingham Rowan**

Examiner: Unknown

Serial No.: Unknown  
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For: A TRANSACTION MANAGEMENT  
SYSTEM AND METHOD

Attorney Docket No.: GML0104PUSA

**PRELIMINARY AMENDMENT UNDER 37 C.F.R. § 1.115**

MAIL STOP PCT  
Commissioner for Patents  
U.S. Patent & Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please amend the above-identified application as follows:

**CERTIFICATE OF ELECTRONIC FILING**

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